

# How to Trust your Peephole Rewrites: Automatically Prove Them For Arbitrary Width!

Siddharth Bhat



UNIVERSITY OF  
CAMBRIDGE

# Extremely Collaborative Work!

- bv Decide was developed by the Lean Focused Research Organization (Henrik Böving implemented bv Decide, supervised by Kim Morrison, Leonardo De Moura)
- Josh Clune implemented the LRAT checker.
- Many folks contributed to the Bitvector Library: Abdalrhman Mohamed, Alex Keizer, Harun Khan , Henrik Böving , Joe Hendrix , Kim Morrison , Leonardo de Moura , Luisa Cicolini, Siddharth Bhat, Tobias Grosser, Wojciech Nawrocki, Joe Hendrix, ...
- Collaboration with Léo Stefanescu to implement arbitrary-width decision procedures.
- Chris Hughes wrote the first version of the decision procedure in Lean.

# Alive Is Awesome!

```
define i32 @src(i32) {
    %r = udiv i32 %0, 8192
    ret i32 %r
}
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```
define i32 @tgt(i32) {
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Transformation seems to be correct!

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# Alive Is Awesome!

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define i32 @src(i32) {
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Transformation doesn't verify!

ERROR: Value mismatch

Example:

i32 %#0 = #x00000001 (1)

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Target:

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[InstCombine] Extend Fold of Zero-extended Bit Test (#102100)

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mskamp authored on Aug 21 · 51 / 56 · Verified

Previously, (zext (icmp ne (and X, (1 << ShAmt)), 0)) has only been folded if the bit width of X and the result were equal. Use a trunc or zext instruction to also support other bit widths.

This is a follow-up to commit [533190a](#), which introduced a regression: (zext (icmp ne (and (lshr X ShAmt) 1) 0)) is not folded any longer to (zext/trunc (and (lshr X ShAmt) 1)) since the commit introduced the fold of (icmp ne (and (lshr X ShAmt) 1) 0) to (icmp ne (and X (1 << ShAmt)) 0). The change introduced by this commit restores this fold.

alive proof: <https://alive2.llvm.org/ce/z/MFkNXs>

Relates to issue #86813 and pull request #101838.

Fixes  
Alive

Alive2 proc  
'smt-to':  
<https://alive2.llvm.org/ce/z/MFkNXs>

main (

1 parent eb

resolves #92966

alive proof

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main (#94915)

1 parent [3ae6755](#) comm

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main (#102100)  
1 parent [4f07508](#) commit 170a21e □

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# Alive is Awesome! How Does It Work?

```
define i32 @src(i32) {      (set-logic QF_UFBV)
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  ret i32 %r
}

define i32 @tgt(i32) {      (define-fun src
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  ret i32 %r
}                                ((x (_ BitVec 32)))
                                (_ BitVec 32)
                                (bvudiv x (_ bv32 1)))

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"does src equal tgt for all inputs?"



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```

Alive

QF\_BV

"does src equal tgt for all inputs?"



Solver



## Provably Correct Peephole Optimizations with Alive

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USA

### Abstract

Compilers should not miscompile. Our work addresses problems in developing peephole optimizations that perform local rewriting to improve the efficiency of LLVM code. These optimizations are individually difficult to get right, particularly in the presence of undefined behavior; taken together they represent a persistent source of bugs. This paper presents Alive, a domain-specific language for writing optimizations and for automatically either proving them correct or else generating counterexamples. Furthermore, Alive can be automatically translated into C++ code that is suitable for inclusion in an LLVM optimization pass. Alive is based on an attempt to balance usability and formal methods; for example, it captures—but largely hides—the detailed semantics of three different kinds of undefined behavior in LLVM. We have translated more than 300 LLVM optimizations into Alive and, in the process, found that eight of them were wrong.

(compiler verification) or a proof that a particular compiler is correct (translation validation). For example, CompCert is a hybrid of the two approaches. Unfortunately, creating such proofs required several person-years of proof engineering and tool does not provide a good value proposition for many use cases: it implements a subset of C, optimizes only for x86-64 or the increasingly impractical extensions to x86 and ARM. In contrast, production compilers are constantly improving to support new languages, struggle to obtain provable performance guarantees, and

This paper presents Alive, a new language and tool for writing LLVM optimizations. Alive serves for a compiler that is both practical and formal; it allows compiler writers to implement LLVM optimizations and prove them correct. Alive automatically proves them correct with the help of modulo theory (SMT) solvers (or provides a counterexample if they fail). Alive is open source and we also make it available on the web, where it has active users from the LLVM community.

## Alive2: Bounded Translation Validation for LLVM

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### Abstract

We designed, implemented, and deployed Alive2: a bounded translation validation tool for the LLVM compiler's intermediate representation (IR). It limits resource consumption by, for example, unrolling loops up to some bound, which means there are circumstances in which it misses bugs. Alive2 is designed to avoid false alarms, is fully automatic through the use of an SMT solver, and requires no changes to LLVM. By running Alive2 over LLVM's unit test suite, we discovered and reported 47 new bugs, 28 of which have been fixed already. Moreover, our work has led to eight patches to the LLVM Language Reference—the definitive description of the semantics of its IR—and we have participated in numerous discussions with the goal of clarifying ambiguities and fixing errors in these semantics. Alive2 is open source and we also make it available on the web, where it has active users from the LLVM community.

### 1 Introduction

LLVM is a popular open-source compiler that is used by numerous frontends (e.g., C, C++, Fortran, Rust, Swift), and that generates high-quality code for a variety of target architectures. We want LLVM to be correct but, like any large code base, it contains bugs. Proving functional correctness of about 2.6 million lines of C++ is still impractical, but a weaker formal technique—translation validation—can be used to certify that individual executions of the compiler respected its specifications.

A key feature of LLVM that makes it a suitable platform for translation validation is its intermediate representation (IR), which provides a common point of interaction between frontends, backends, and middle-end transformation passes. LLVM IR has a specification document,<sup>1</sup> making it more amenable to formal methods than are most other compiler IRs. Even so, there have been numerous instances of ambiguity in the specification, and there have also been (and still

<sup>1</sup>Categories and Subject Descriptors D.2.4 [Programming Languages]: Software/Program Verification; D.3.4 [Programming Languages]: Compilers; F.1.2 [Mathematical Logic and Formal Languages]: Formal Methods

# SMT Solver

Transformation doesn't verify!

ERROR: Value mismatch

Example:

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Source value: #x00000001 (1)

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# Alive is Awesome! How Does It Work?

[InstCombine] Extend Fold of Zero-extended Bit Test (#102100)

[InstCombine] fo || (a != c && == (b != c) (#

mskamp authored on Aug 21 · 51 / 56 · Verified

Previously, (zext (icmp ne (and X, (1 << ShAmt)), 0)) has only been folded if the bit width of X and the result were equal. Use a trunc or zext instruction to also support other bit widths.

This is a follow-up to commit [533190a](#), which introduced a regression: (zext (icmp ne (and (lshr X ShAmt) 1) 0)) is not folded any longer to (zext/trunc (and (lshr X ShAmt) 1)) since the commit introduced the fold of (icmp ne (and (lshr X ShAmt) 1) 0) to (icmp ne (and X (1 << ShAmt)) 0). The change introduced by this commit restores this fold.

Alive proof: <https://alive2.llvm.org/ce/z/MFkNXs>

Relates to issue #86813 and pull request #101838.

main (#94915)

main (#102100)

1 parent [3ae6755](#) comm 1 parent [4f07508](#) commit 170a21e

Fixes Alive

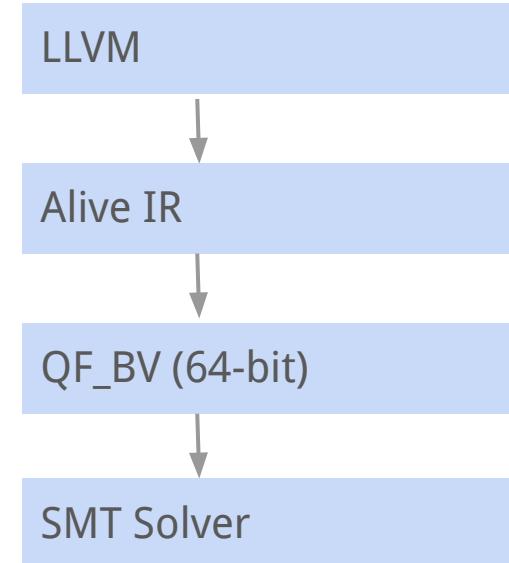
Alive2 proc `smt-to`:  
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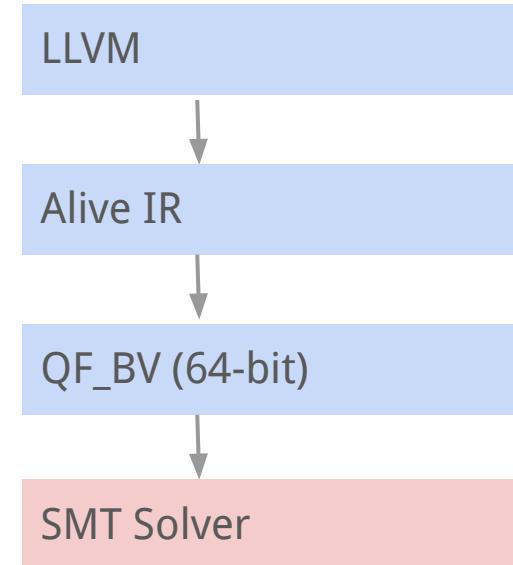
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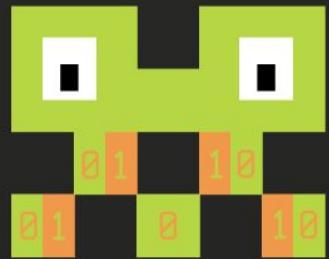
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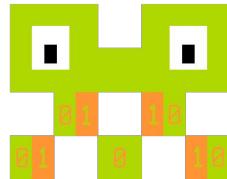


# Bitwuzla

An SMT solver for bit-vectors, floating-points, arrays and uninterpreted functions.

# News

- » Our paper [Scalable Bit-Blasting with Abstractions](#) at [CAV 2024](#)
- » Bitwuzla won 26 out of 56 (participated) division awards at [SMT-COMP 2023](#)
- » Our system description of Bitwuzla won a [CAV distinguished paper award](#) at [CAV 2023](#)
- » Bitwuzla won 32 out of 48 (participated) division awards at [SMT-COMP 2022](#)
- » Bitwuzla won 17 out of 28 (participated) division awards at [SMT-COMP 2021](#)
- » Bitwuzla is now available on [GitHub](#)
- » Bitwuzla won 43 out of 71 (participated) division awards at [SMT-COMP 2020](#)
- » Bitwuzla participating at [SMT-COMP 2020 \(submitted binary\)](#)



Bitwuzla

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## Refutational soundness bug on QF\_ABV instance #134

⌚ Closed



fwangdo opened on Nov 8, 2024

...

Greetings,

For this instance, a refutational soundness bug occurred.

We tried to reduce the size of this instance, however ddSMT failed to do it.

(we checked that the instance had a solution through z3.)

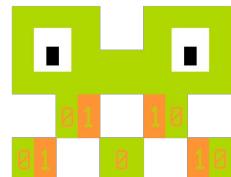
Commit [9b56a69](#)



mpreiner committed on Nov 8, 2024 · ✓ 10 / 10

rewriter: Fix another case in BV\_AND\_CONCAT rule.

Fixes [#134](#).



Bitwuzla



[main](#)



0.7.0 0.6.1

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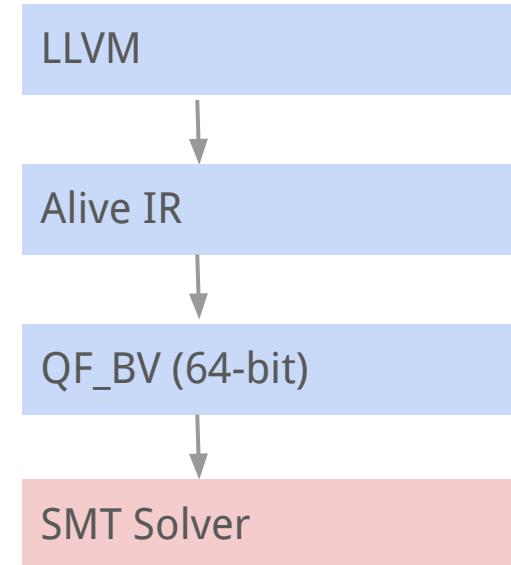
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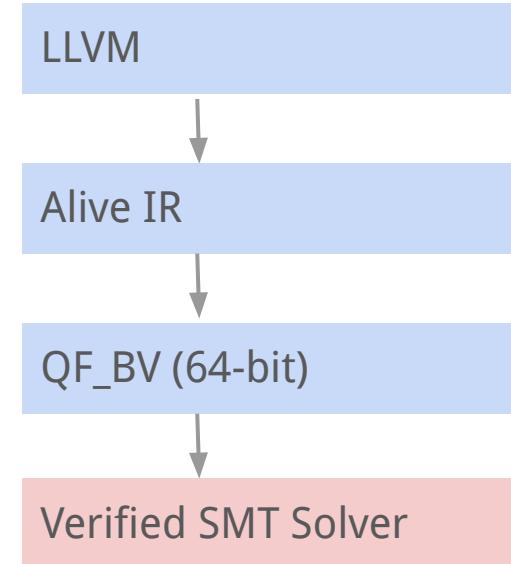
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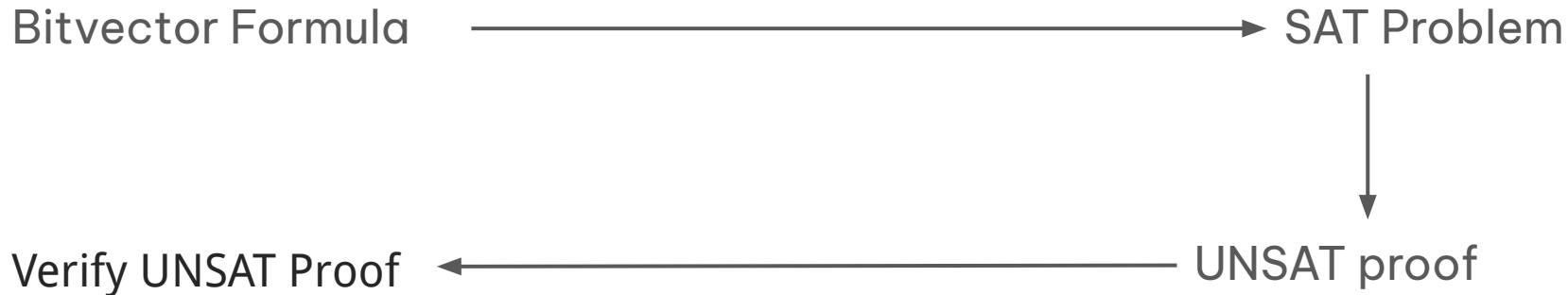
SMT Solver Proofs Need Arbitrary Width Theorems



# Verified Fixed Width Bitvector Solver: `bv_decide`



# Verified Fixed Width Bitvector Solver: `bv_decide`



```
theorem unsat_of_verifyBVExpr_eq_true (bv : BVLogicalExpr) (c : String)  
  (h : verifyBVExpr bv c = true) : ∀ (f : Assignment), eval f bv = false
```

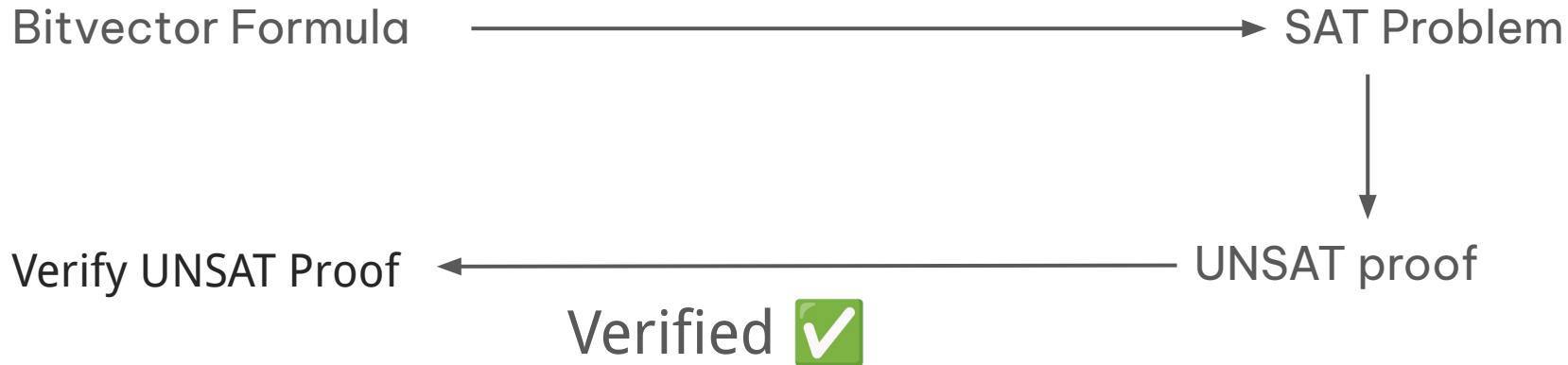
# RAT Proof Certificates: Pure Literal Elimination

## Resolution Asymmetric Tautology (RAT) [IJCAR 2012]

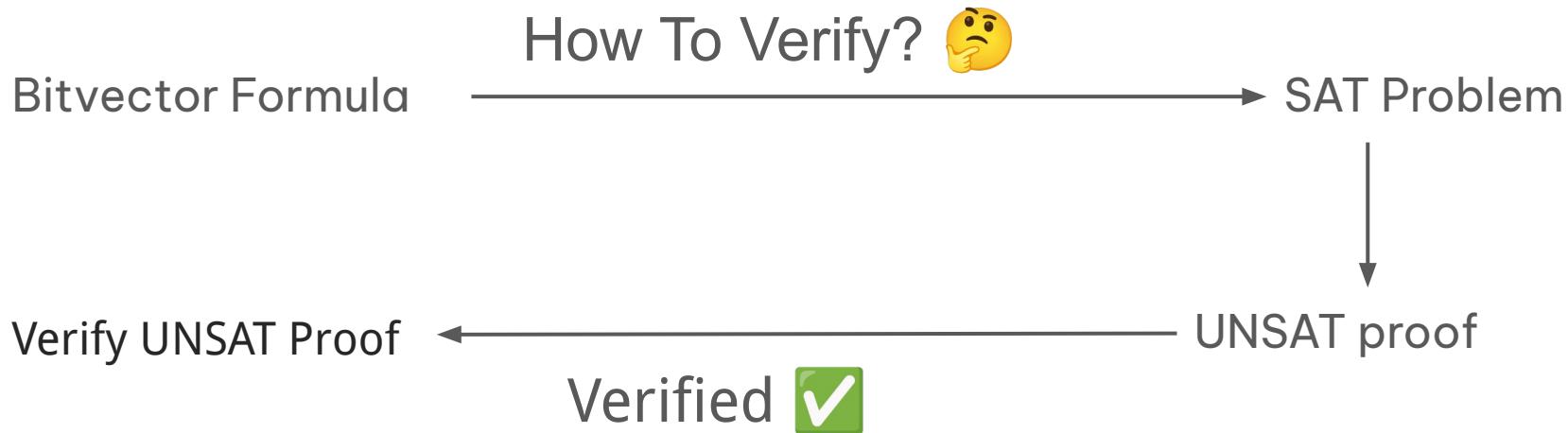
Given a clause  $C = (l_1 \vee \dots \vee l_k)$  and a CNF formula  $F$ :

- ▶  $\overline{C}$  denotes the conjunction of its negated literals  $(\bar{l}_1) \wedge \dots \wedge (\bar{l}_k)$
- ▶  $F \vdash_1 \epsilon$  denotes that unit propagation on  $F$  derives a conflict
- ▶  $C$  is an **asymmetric tautology** w.r.t.  $F$  if and only if  $F \wedge \overline{C} \vdash_1 \epsilon$
- ▶  $C$  is a **resolution asymmetric tautology** on  $l \in C$  w.r.t.  $F$  iff for all resolvents  $C \diamond D$  with  $D \in F$  and  $\bar{l} \in D$  holds that  $F \wedge \overline{C \diamond D} \vdash_1 \epsilon$

# Verified Fixed Width Bitvector Solver: `bv Decide`



# Verified Fixed Width Bitvector Solver: `bv Decide`



# Verified Fixed Width Bitvector Solver: `bv_decide`

Bitvector Formula

How To Verify? 🤔

SAT Problem

$$\begin{aligned} 011b + 100b \\ = 3 + 4 \\ = 7 \\ = 111b \end{aligned}$$

# Verified Fixed Width Bitvector Solver: `bv_decide`



$011b + 100b$  (bits / booleans ✓)

$$= 3 + 4$$

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# Verified Fixed Width Bitvector Solver: `bv_decide`

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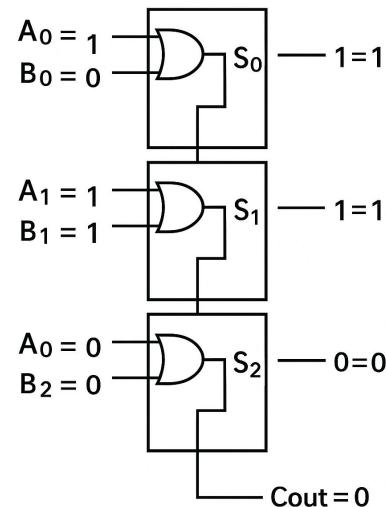
=  $3 + 4$

= 7 (natural numbers ✗)

=  $111b$



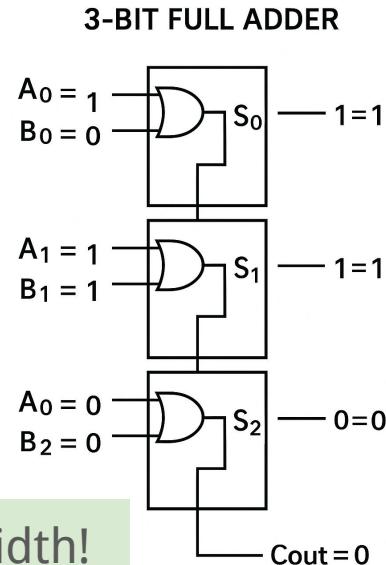
3-BIT FULL ADDER



# Verified Fixed Width Bitvector Solver: `bv_decide`



$$\begin{aligned} & 011b + 100b \text{ (bits / booleans ✓)} \\ & = 3 + 4 \\ & = 7 \text{ (natural numbers ✗)} \\ & = 111b \end{aligned}$$



In General, We Must Prove This Correct For Arbitrary Width!

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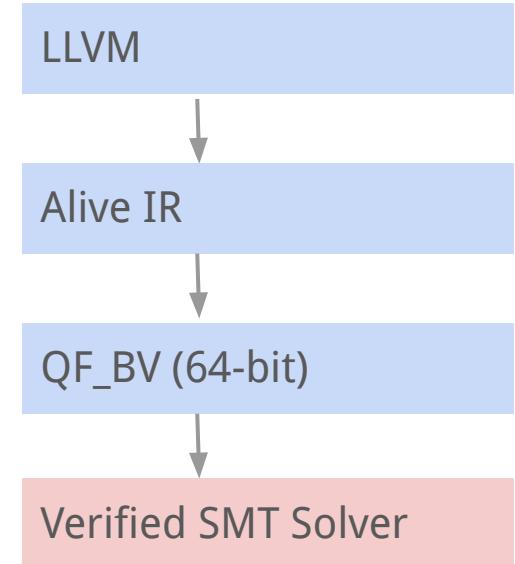
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In General, We Must Prove This Correct For Arbitrary Width!

# Gigantic Bitvectors Are Becoming Common!

Arm SVE: 2048 Bit Width Vectors

Fully Homomorphic Encryption: Wide Registers

# Gigantic Bitvectors Are Becoming Common!

Arm SVE: 2048 Bit Width Vectors

Fully Homomorphic Encryption: Wide Registers

I Sleep Better At Night With an Arbitrary Width Proof

Just Prove The Arbitrary Width Theorems 🔥

# Algorithms for Arbitrary Bitwidth Proofs

bv\_automata: Automata Theory  
bv\_mba: 1bit to Nbit generalization

LLVM

Alive IR

→ QF\_BV (arb. bit)

→ Verified SMT Solver

Just Prove The Arbitrary Width Theorems 🔥

# bv\_automata: Width-Quantified Problems

```
theorem and_idem: ∀ (w : Nat) (x : BitVec w), x & x = x := by bv_automata
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: **x&x**

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**x2&x2**    x1&x1    x0&x0 :      x&x

**1**      1      1 : **x&x=x**

# bv\_automata: Width-Quantified Problems

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theorem and_idem: ∀ (w : Nat) (x : BitVec w), x & x = x := by bv_automata
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... x5 x4 x3 x2 x1 x0 : x
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.....x2&x2 x1&x1 x0&x0 : x&x
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.....1.....1.....1.....1.....1 1 1 : x&x=x
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$$\dots \quad x_5 \quad x_4 \quad x_3 \quad x_2 \quad x_1 \quad x_0 \quad ; \quad x$$

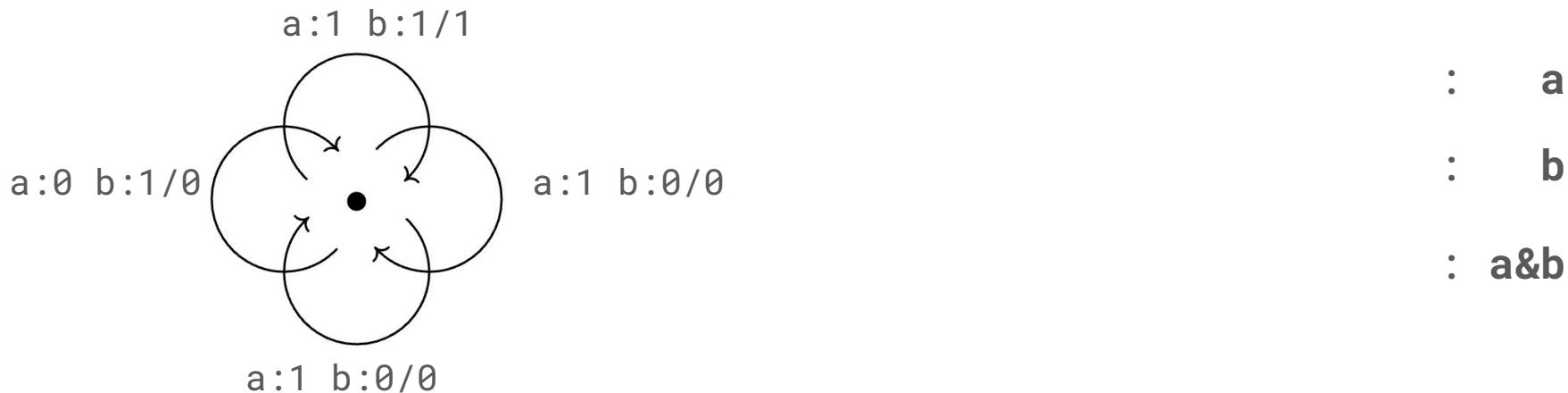
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.....x2&x2 x1&x1 x0&x0 : x&x

Does  $x \& x = x$  produce an infinite sequence of 1s?

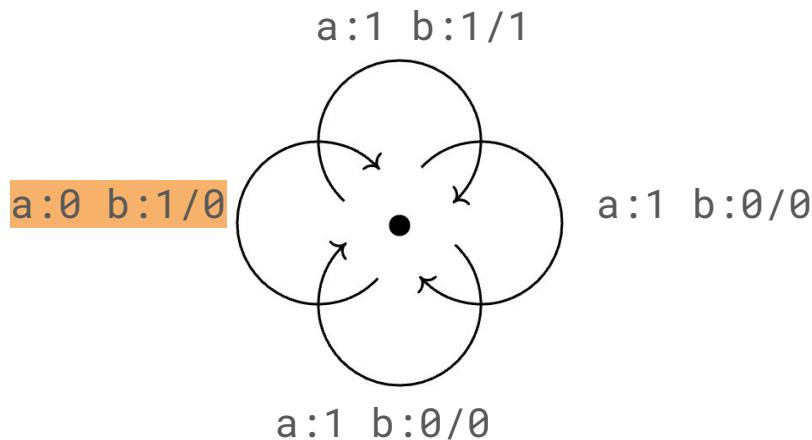
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Automata for **a&b**:



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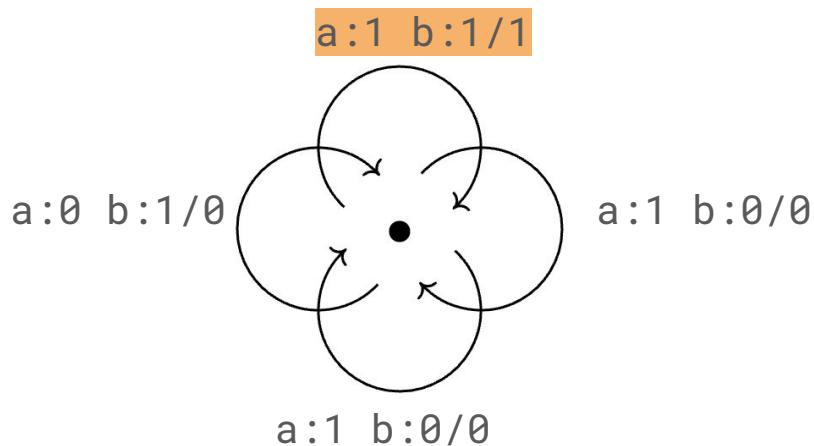
Automata for **a&b**:



0	:	a
1	:	b
0	:	<b>a&amp;b</b>

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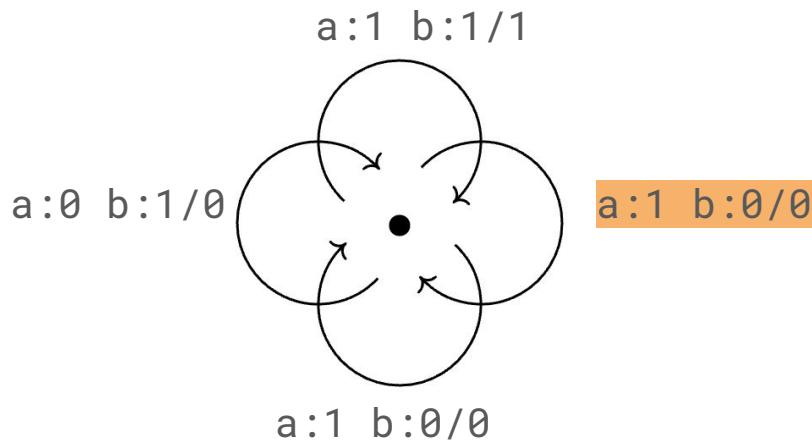
Automata for **a&b**:



1 0	:	a
1 1	:	b
1 0	:	a&b

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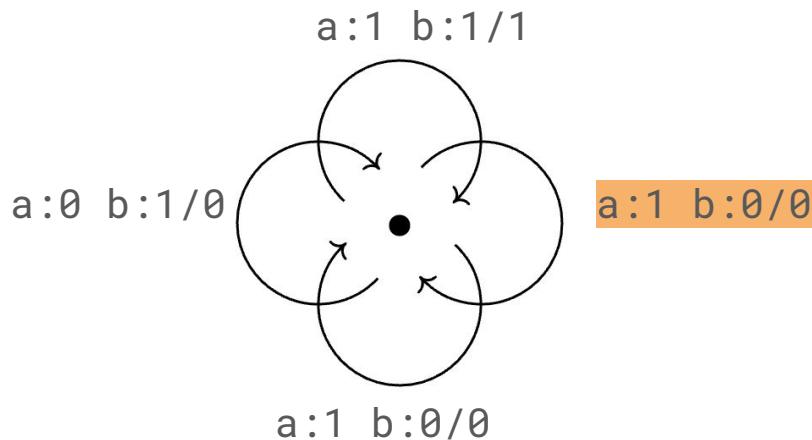
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1	1	0	:	a
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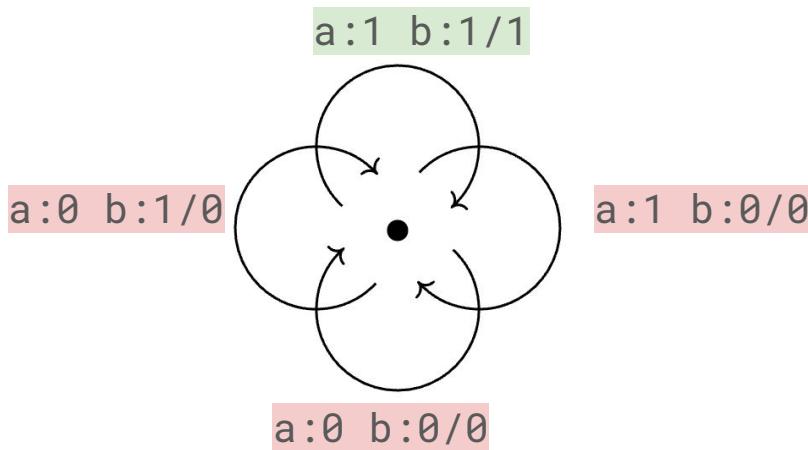


**a:1 b:0/0**

<b>1</b>	<b>1</b>	<b>0</b>	:	<b>a</b>
<b>0</b>	<b>1</b>	<b>1</b>	:	<b>b</b>
<b>0</b>	<b>1</b>	<b>0</b>	:	<b>a&amp;b</b>

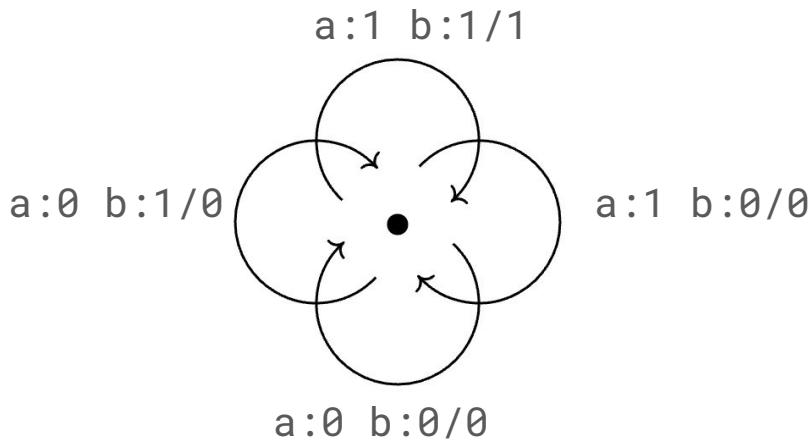
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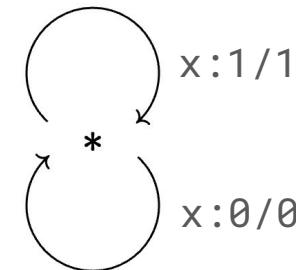


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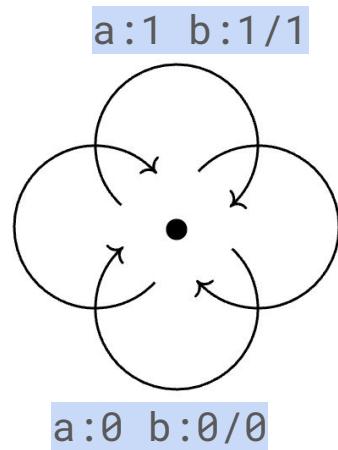


Automata for **x**:

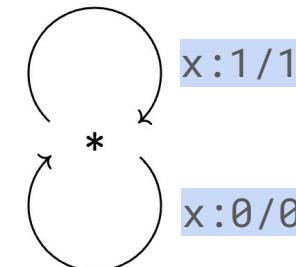


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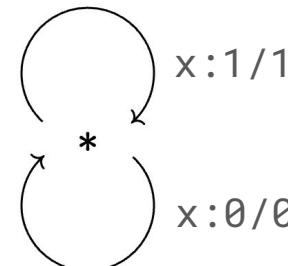
Automata for **a&b:**



Automata for **x:**

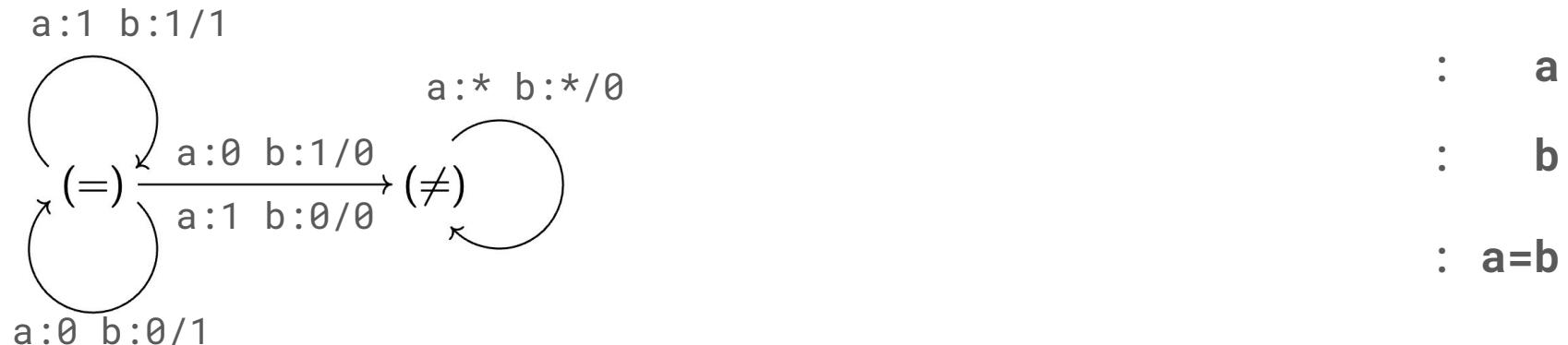


Automata for **x&x:**



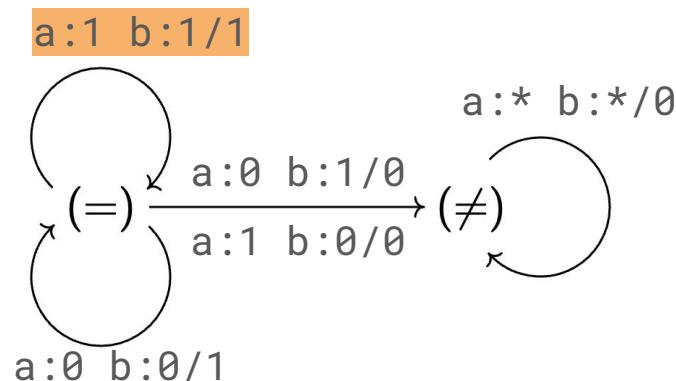
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Automata for  $a=b$ :



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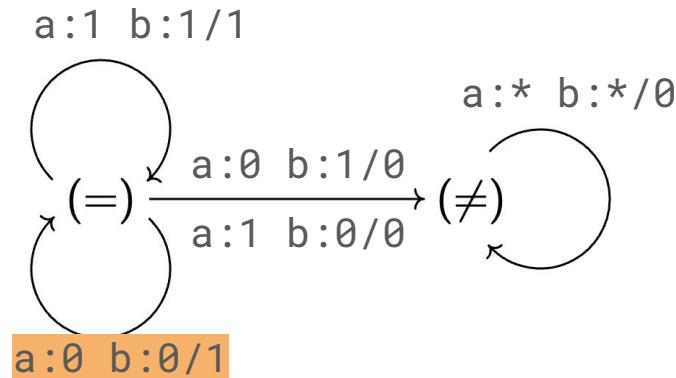
Automata for  $a=b$ :



1	:	a
1	:	b
1	:	$a=b$

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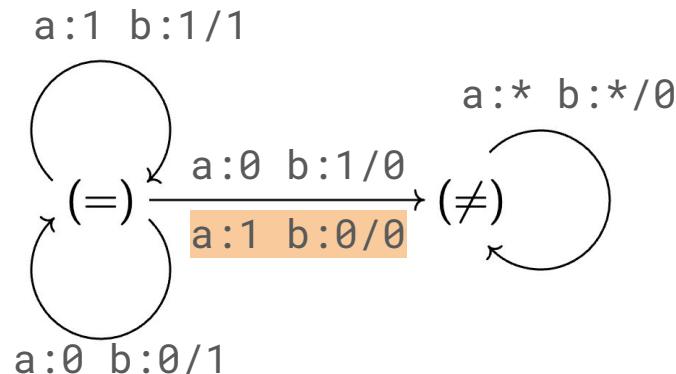
0 1 : a

0 1 : b

1 1 : a=b

# Does $x \& x = x$ Produce An Infinite Sequence of 1s?

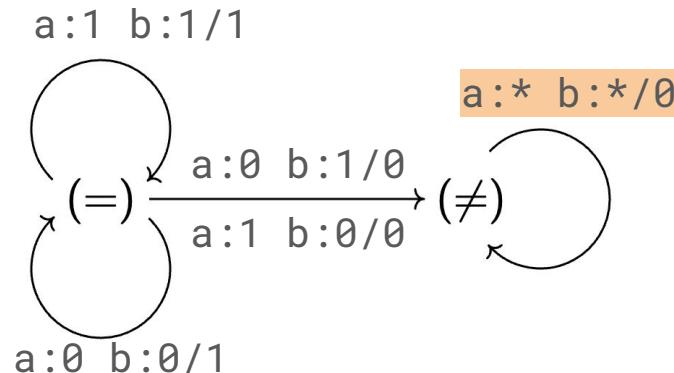
Automata for  $a=b$ :



0	0	1	:	a
1	0	1	:	b
0	1	1	:	a=b

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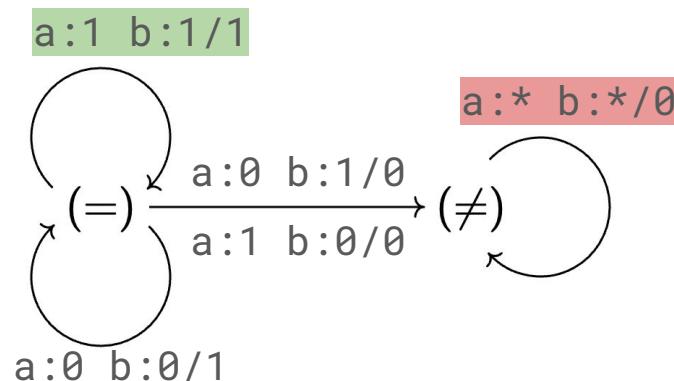
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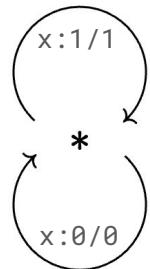
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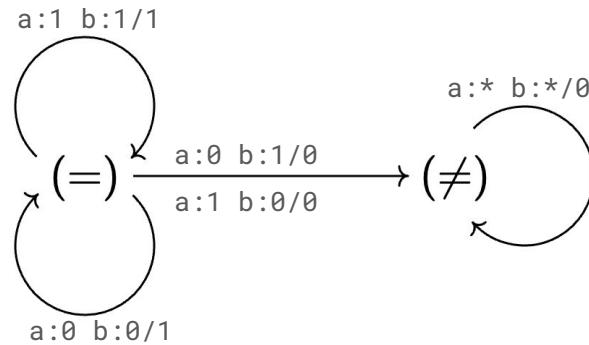
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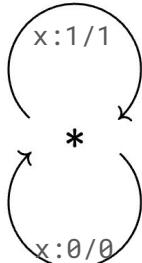
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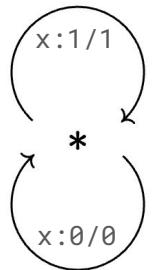


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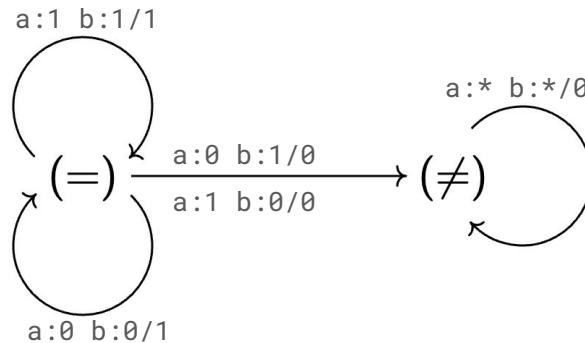


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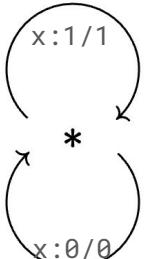
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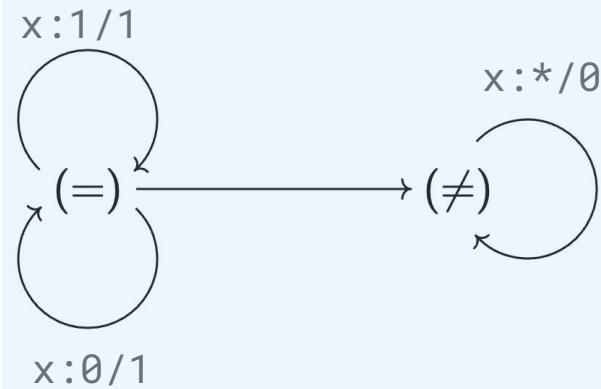
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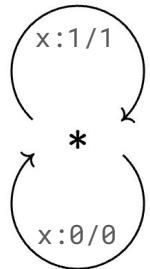


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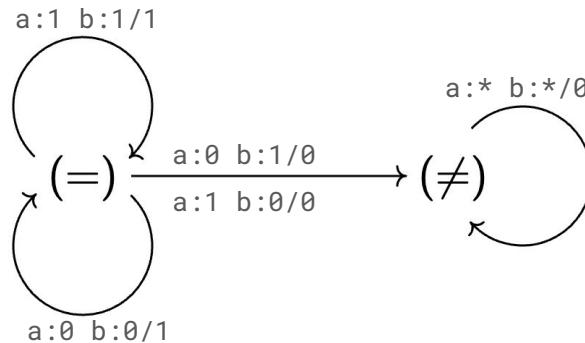


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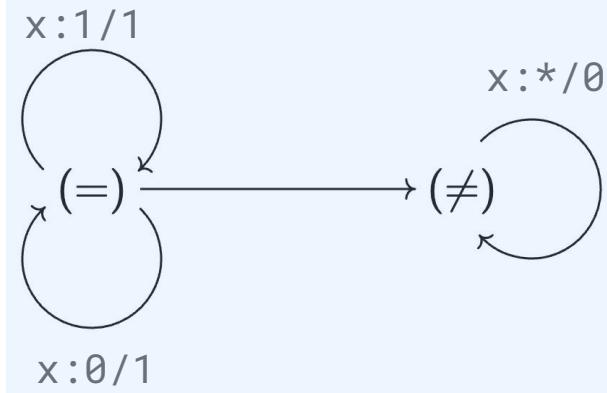
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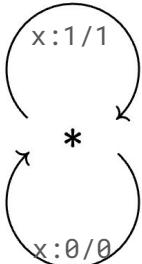
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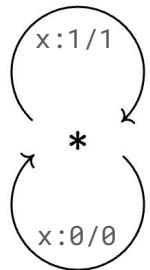
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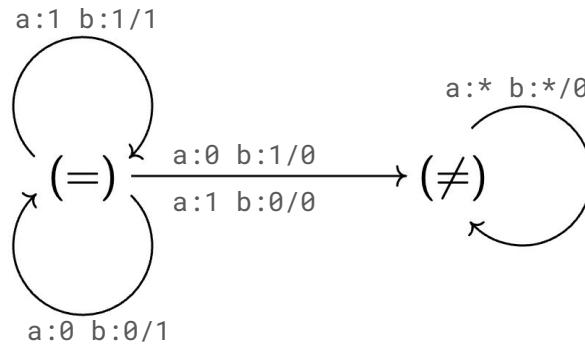
Does Automata for  $x \& x = x$  Always Produce 1s?

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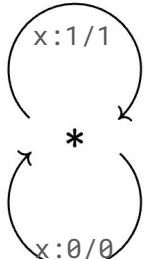
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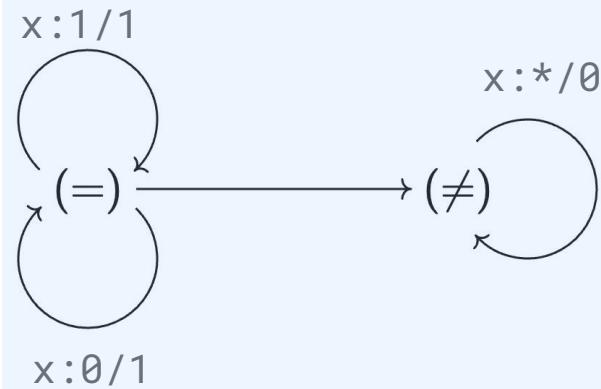
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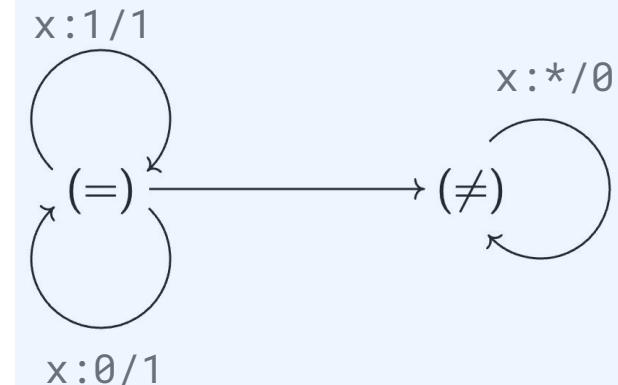
YES: Automata for  $x \& x = x$  Always Produce 1s!

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...x1        x0 :        x  
...x1&x1 x0&x0 :    x&x  
...1        1 : **x&x=x**

Automata for  $x \& x = x$ :



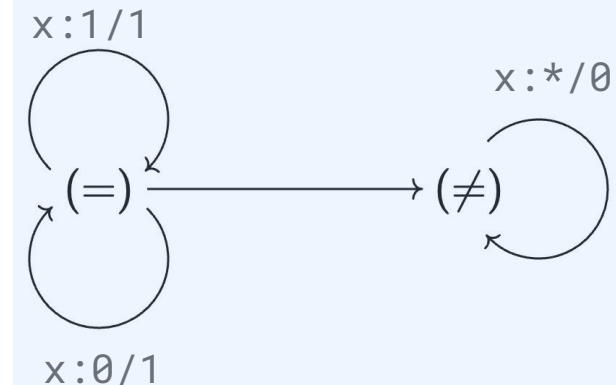
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Automata for  $x \& x = x$ :



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Reachable by path  $p$ :

$$(s : S) \xrightarrow{p}^*(u : S) \equiv \begin{cases} s = u & p = \langle \rangle \\ \delta(s, p_0) = t \wedge t \xrightarrow{q}^* u & p = \langle p_0; q \rangle \end{cases}$$

Model Checking / k-induction



Reachable by path  $p$ , all intermediate states output true:

$$(s : S) \xrightarrow{p}^*_{\text{true}} (u : S) \equiv \begin{cases} s = u & p = \langle \rangle \\ \pi(s, p_0) = \text{true} \wedge \delta(s, p_0) = t \wedge t \xrightarrow{q}^*_{\text{true}} u & p = \langle p_0; q \rangle \end{cases}$$

States reachable in  $k$  steps from  $s_0$  are safe:

$$\text{InitPrecond}_k \equiv \forall(t : S) (i : \mathbb{B}) (p : \text{BitVec } k), s_0 \xrightarrow{p}^* t \implies \pi(t, i) = \text{true}$$

Safe reachability in  $k$  steps can be extended to  $k + 1$  steps:

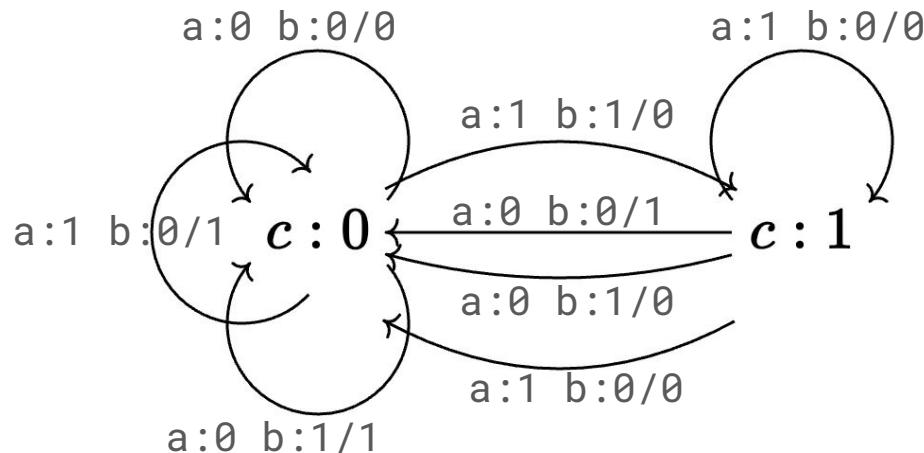
$$\text{Ind}_k \equiv \bigvee_{j=1}^k \forall(s t : S) (i : \mathbb{B}) (p : \text{BitVec } k), s \xrightarrow{p}^*_{\text{true}} t \implies \pi(t, i) = \text{true}$$

Safety = Preconditions + Inductive Invariant:

$$\text{Safe}_k \equiv \text{InitPrecond}_k \wedge \text{Ind}_k$$

# Automata for $a+b$ :

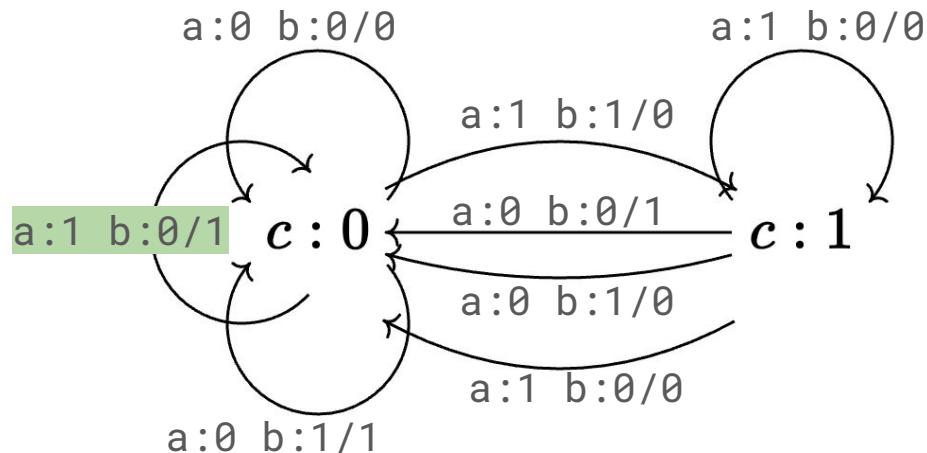
Automata for  $a+b$ :



: a  
: b  
:  $a+b$

# Automata for $a+b$ :

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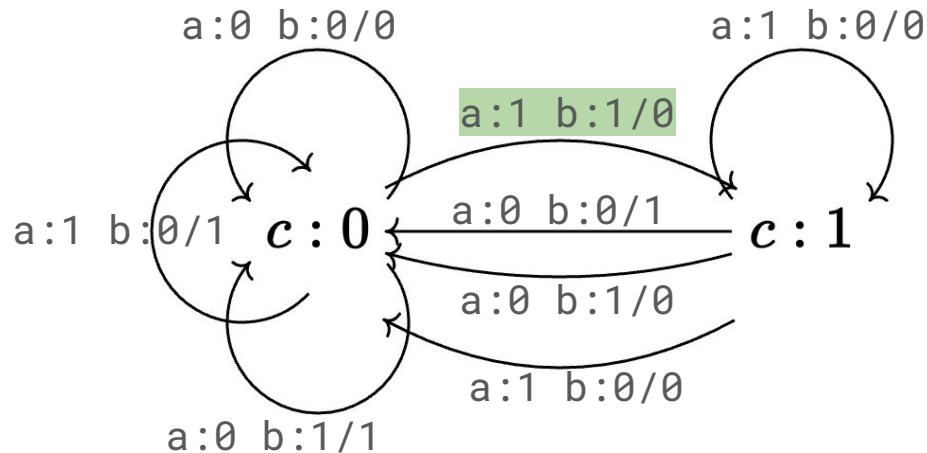
1 : a

0 : b

1 : a+b

# Automata for $a+b$ :

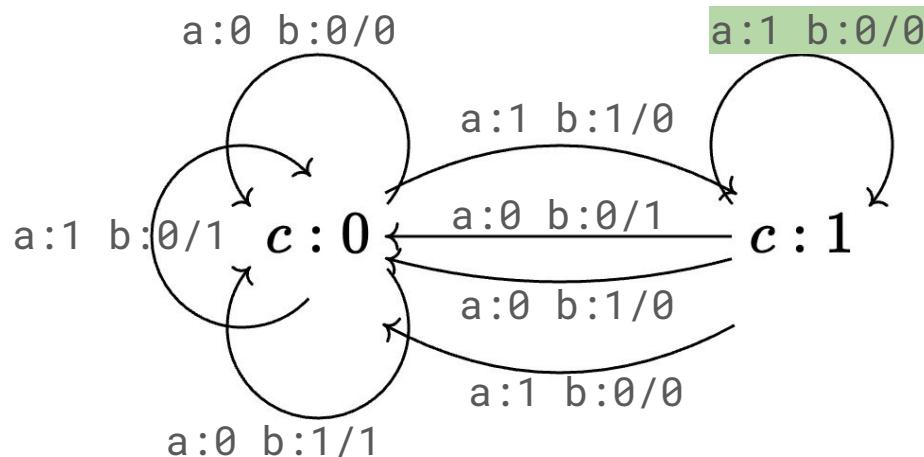
Automata for  $a+b$ :



1	1:	a
1	0:	b
0	1:	$a+b$

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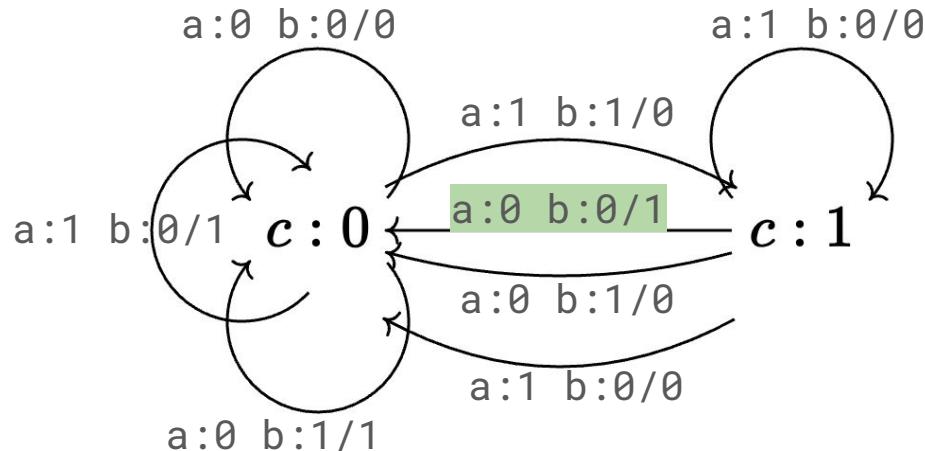
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1				
1	1	1	:	a
0	1	0	:	b
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Automata for  $a+b$ :



1	1
0	1 1 1 1: a
0	0 1 0: b
1	0 0 1: a+b

## Automata for $P \wedge Q$ :

Recall Automata for equality: Told us if equality was **true up to the index**

1	0	0	1	:	a
1	1	0	1	:	b
0	0	1	1	:	a=b

## Automata for $P \wedge Q$ :

1: P

1: Q

1:  $P \wedge Q$

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0 1 1 : Q

0 1 1 :  $P \wedge Q$

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1	1	1	1	:	P
1	0	1	1	:	Q
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0	1	1	1	1	:	P
---	---	---	---	---	---	---

0	1	0	1	1	:	Q
---	---	---	---	---	---	---

0	0	0	1	1	:	$P \wedge Q$
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1	1	0	1	:	b
0	0	1	1	:	a=b

Automata for  $P \wedge Q$ :

Automata for  $P \vee Q$ :

0	1	1	1	1	:	P
0	1	0	1	1	:	Q
0	0	0	1	1	:	$P \wedge Q$

0	1	1	1	1	:	P
0	1	0	1	1	:	Q
0	0	0	1	1	:	$P \vee Q$

# What Is Automata Representable?

- Bitwise Operations, Equality
- Addition (Build Add-Carry Circuit)
- Negation ( $-x = !x + 1$ )
- Multiplication by Constants:  $3 * x = x + x + x$
- Boolean Combinations of Conditions: and, or, not.
- Left Shift:  $a <<< 2 = a * 4 = a + a + a + a$
- Right Shift:  $a >>> 1 = b$  if and only if the bits  $b[i]$  equals  $a[i+1]$ :  
$$\forall \text{aShift}, \text{aShift} \& (\dots 1110) = a \rightarrow \text{aShift} = b$$

# What Is Automata Representable? (WIP Extensions)

- Sign Extend, Zero Extend
- Multiple Widths, Append
- IsPowerOf2?

# bv\_mba : Mixed-Boolean-Arithmetic

```
theorem add_eq_xor_and (x y : BitVec w) :  
  x + y - (x ^^^ y) - 2 * (x &&& y) = 0
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  x + y - (x ^^^ y) - 2 * (x && y) = 0
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# bv\_mba : Mixed-Boolean-Arithmetic

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theorem add_eq_xor_and_w1 (x y : BitVec 1) :  
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$$\begin{aligned} & x_{1x0} + y_{1y0} - (x_{1x0} \wedge y_{1y0}) - 2 * (x_{1x0} \wedge\& y_{1y0}) \\ & (2x_1+x_0) + (2y_1+y_0) - (2(x_1 \wedge y_1) + (x_0 \wedge y_0)) - 2 * (2(x_1 \wedge y_1) + (x_0 \wedge y_0)) \\ & 2(x_1 + y_1 - (x_1 \wedge y_1) - 2 * (x_1 \wedge y_1)) = 0 \end{aligned}$$

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 $2(x_1 + y_1 - (x_1 \wedge y_1) - 2 * (x_1 \wedge y_1)) = 0$   
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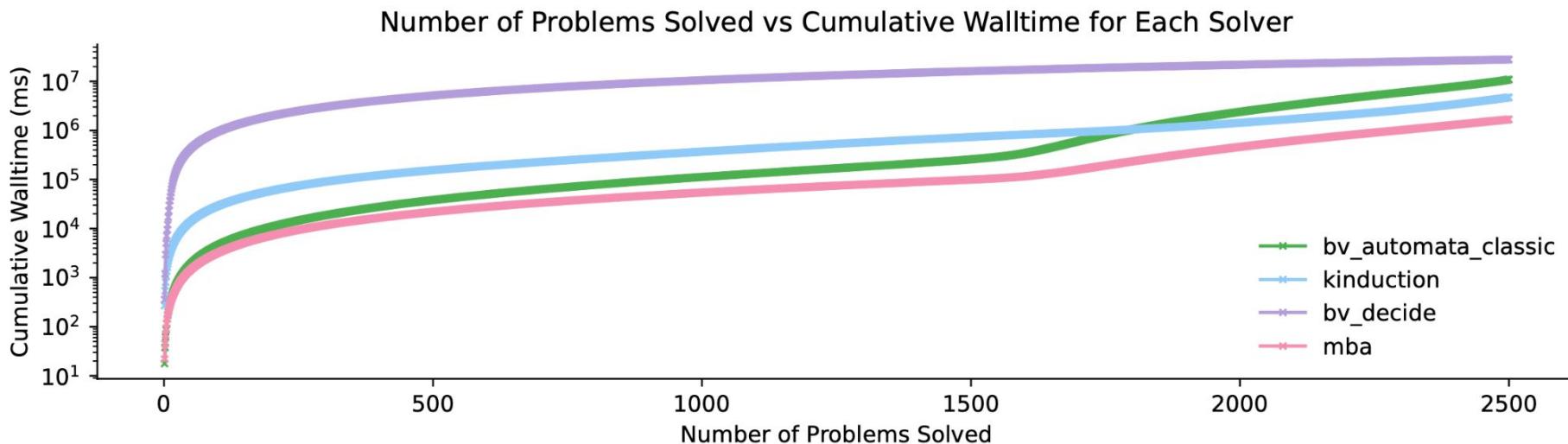
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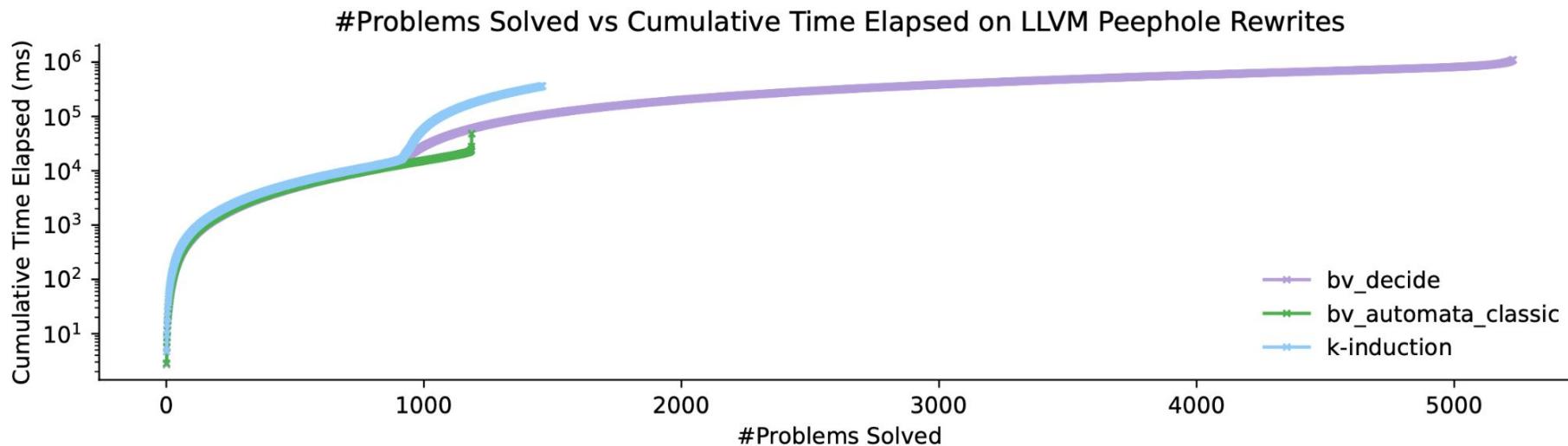
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2(x1 + y1 - (x1^y1) -2 * (x1&y1) = 0  
2(x0 + y0 - (x0^y0) -2 * (x0&y0) = 0
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# How Well Do These Algorithms Work?

Problems Solved v/s walltime on MBA-Blast

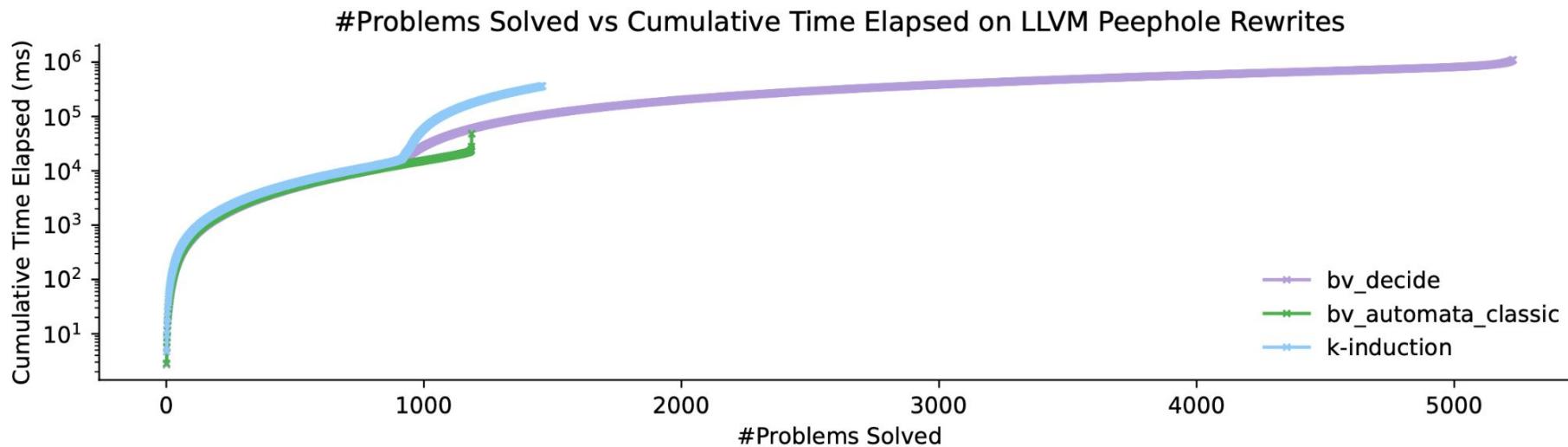


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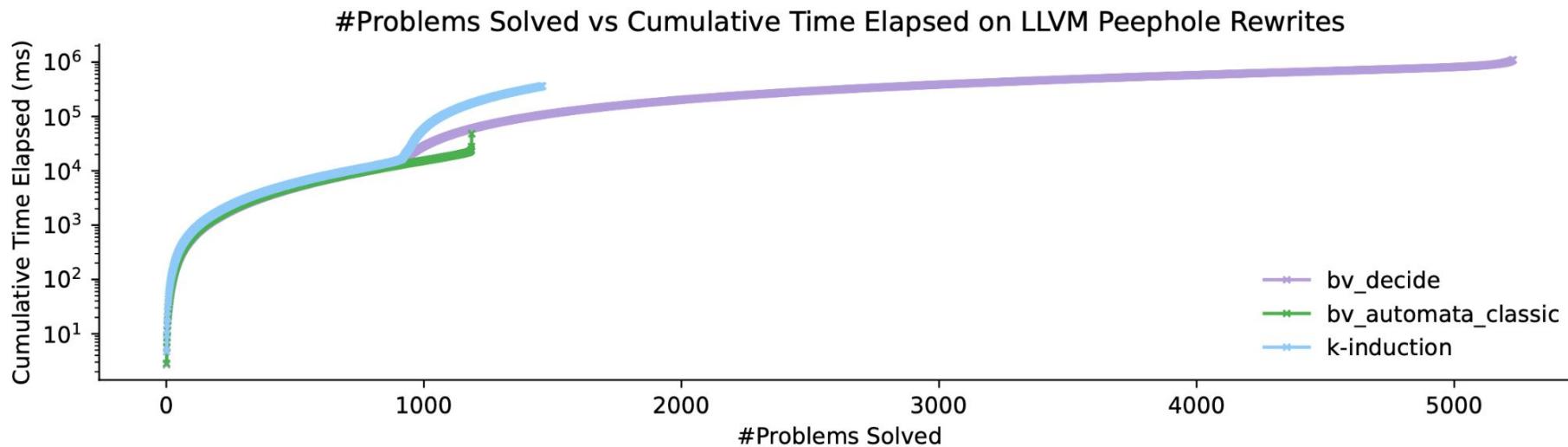
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- Rewrites With Mul / Div / ... : ~500 problems

# Trust Your Rewrites With Arbitrary Width Solvers!

$$x_1x_0 + y_1y_0 - (x_1x_0 \wedge y_1y_0) - 2 * (x_1x_0 \& y_1y_0)$$

$$(2x_1+x_0) + (2y_1+y_0) - (2(x^y_1)+(x_0^y_0))$$
$$- 2 * (2(x_1\&y_1)+(x_0\&y_0))$$

$$2(x_1 + y_1 - (x_1^y_1) - 2 * (x_1\&y_1) = 0$$

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